

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  Puthukode G. Ramachandran	: Group Art Unit:
Serial No.:	: Intellectual Property Law Department
Filed:	: International Business Machines Corp.
Title: "On-Demand Active Role-based Software Provisioning"	: 11400 Burnet Road
	: Internal Zip 4054
	: Austin, Texas 78758

Date: 12/3/03

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

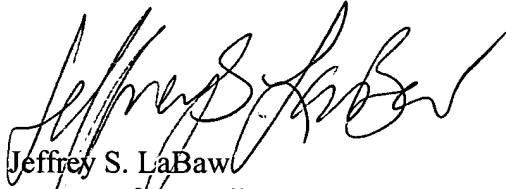
AUS920030859US1

PATENT

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,



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In Place of FORM PTO-1449 (Modified)

Serial No. \_\_\_\_\_

Applicant: Puthukode G. Ramachandran

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. AUS920030859US1

Reference Designation

U. S. PATENT DOCUMENTS

Examiner	Document						Filing Date if
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	<u>Appropriate</u>	
	AA 6,418,554	07/09/02	Delo, et al.	717	174		
	AB 20030126137	07/03/03	McFadden, et al.	707	100		
	AC 20030145317	05/31/03	Chamberlain	717	177		
	AD 20030018964	01/23/03	Fox, et al.	717	177		
	AE 20030115196	06/19/03	Boreham, et al.	707	4		
	AF 20030037044	02/20/03	Boreham, et al.	707	3		
	AG 20020194584	12/19/02	Suorsa, et al.	717	176		
	AH 20020156904	10/24/02	Gullota, et al.	709	229		
	AI 20020147801	10/10/02	Gullota, et al.	709	223		
	AJ 20020129356	09/12/02	Hellerstein, et al.	717	177		

FOREIGN PATENT DOCUMENTS

Examiner	Document						Translation
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Yes</u>	<u>No</u>
	AK						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

Initial

<u>  </u>	AL	Gonzalez, Marti, Software Package Installation Control, RD n448, 08-2201, Article 1, page 1435
<u>  </u>	AM	"Introducing IBM Tivoli Configuration Manager, Version 4.2", IBM Corp., 44 pgs.
<u>  </u>	AN	"Tivoli Resource Center: Tivoli License Manager White Paper", IBM Corp., downloaded from <a href="http://www-3.ibm.com/software/tivoli/resource-center/">http://www-3.ibm.com/software/tivoli/resource-center/</a> on 11/03/2003, 1 pg.
<u>  </u>	AO	"Lighthouse Directory Access Protocol V3", Internet Engineering Task Force, downloaded from <a href="http://www.ietf.org">http://www.ietf.org</a> on 11/03/2003, 51 pgs.
<u>  </u>	AP	"JNDI Event Listeners", Sun Microsystems, downloaded from <a href="http://www.java.sun.com/products/jndi/tutorial">http://www.java.sun.com/products/jndi/tutorial</a> on 11/03/2003, 4 pgs.
<u>  </u>	AP	"JNDI Event Notification", Sun Microsystems, downloaded from <a href="http://www.java.sun.com/products/jndi/tutorial">http://www.java.sun.com/products/jndi/tutorial</a> on 11/03/2003, 2 pgs.
<u>  </u>	AQ	"JNDI LDAP Unsolicited Notifications", Sun Microsystems, downloaded from <a href="http://www.java.sun.com/products/jndi/tutorial">http://www.java.sun.com/products/jndi/tutorial</a> on 11/03/2003, 2 pgs.
<u>  </u>	AR	"JNDI Naming Events", Sun Microsystems, downloaded from <a href="http://www.java.sun.com/products/jndi/tutorial">http://www.java.sun.com/products/jndi/tutorial</a> on 11/03/2003, 4 pgs.

✓ AS "What Is: JNDI", downloaded from http://techtarget.com on 11/03/2003, 3 pgs.  
✓ AT "What Is: LDAP", downloaded from http://techtarget.com on 11/03/2003, 3 pgs.  
✓ AU "What Is: Active Directory", downloaded from http://techtarget.com on  
11/03/2003, 3 pgs.  
L AV "What Is: Novell Directory Services", downloaded from http://techtarget.com  
on 11/03/2003, 2 pgs.  
✓ AW "What Is: X.500 Directory Services", downloaded from http://techtarget.com  
on 11/03/2003, 3 pgs.

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Examiner:

Date Considered:

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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